## Algebraic Cobordism Lecture 1: Complex cobordism and algebraic cobordism

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# Prelude: From homotopy theory to $\mathbb{A}^1\text{-homotopy}$ theory

A basic object in homotopy theory is a generalized cohomology theory  $E^*$ 

$$X \mapsto E^*(X)$$

A generalized cohomology theory  $E^*$  has a unique representation as an object E (a spectrum) in the stable homotopy category SH.

SH can be thought of as a linearization of the category of pointed topological spaces  $Sp_*$ :

$$\Sigma^{\infty}: Sp_* \to S\mathcal{H}$$

which inverts the suspension operator  $\Sigma$ , and

$$E^n(X) = \operatorname{Hom}_{\mathcal{SH}}(\Sigma^{\infty}X_+, \Sigma^n E); \ n \in \mathbb{Z}.$$

#### Examples

SH is the homotopy category of *spectra*.

- Singular cohomology  $H^*(-, A)$  is represented by the Eilenberg-Maclane spectrum HA
- Topological K-theory  $K_{top}^*$  is represented by the K-theory spectrum  $K_{top}$
- Complex cobordism  $MU^*$  is represented by the Thom spectrum MU.

#### $\mathbb{A}^1$ -homotopy theory

Morel and Voevodsky have defined a refinement of  ${\rm S}{\rm H}$  in the setting of algebraic geometry.

k: a field. Sm/k: smooth varieties over k.

There is a sequence of functors:

$$\operatorname{Sm}/k \to Sp(k)_* \xrightarrow{\Sigma_{\mathbb{P}^1}^\infty} S\mathcal{H}(k).$$

 $Sp(k)_* =$  pointed spaces over k, SH(k) = the homotopy category of  $\mathbb{P}^1$ -spectra, localized by  $\mathbb{A}^1$ -homotopy.

#### Two circles

In  $Sp_*$ , the circle  $S^1$  is fundamental:  $\Sigma X := S^1 \wedge X$ .

In  $Sp(k)_* \supset Sp_*$ , there are *two*  $S^1$ 's:

The usual circle  $S^{1,0} := S^1$ and The Tate circle  $S^{1,1} := (\mathbb{A}^1_k \setminus \{0\}, \{1\}).$ 

Set 
$$S^{p,q} := (S^{1,1})^{\wedge q} \wedge (S^{1,0})^{\wedge p-q}$$
,  
 $\Sigma^{p,q}(X) := S^{p,q} \wedge X.$ 

*Note.* 1.  $(\mathbb{P}^1, \infty) \cong S^{1,0} \wedge S^{1,1} = S^{2,1}$ .

2.  $Sp(k)_* \xrightarrow{\Sigma_{\mathbb{P}^1}^{\infty}} S\mathcal{H}(k)$  inverts all the operators  $\Sigma^{p,q}$ .

#### Cohomology for varieties over k

Because of the two circles, SH(k) represents *bi-graded* cohomology theories on Sm/k: For  $\mathcal{E} \in SH(k)$ , have

$$X \mapsto \mathcal{E}^{p,q}(X) := [\Sigma^{\infty}_{\mathbb{P}^1} X_+, \Sigma^{p,q} \mathcal{E}]; \ p,q \in \mathbb{Z}.$$

- Motivic cohomology  $H^{*,*}(-,A)$  is represented by the Eilenberg-Maclane spectrum  $\mathcal{H}A$
- Algebraic  $K\text{-theory }K^{*,*}_{alg}$  is represented by the  $K\text{-theory spectrum }\mathcal{K}$
- Algebraic cobordism  $MGL^{*,*}$  is represented by the Thom spectrum MGL.

#### Remarks

1. Bott periodicity yields  $K_n^{alg}(X) = K_{alg}^{n+2m,m}(X)$  for all m.

2. 
$$K_{alg}^{2*,*}(X) = K_0^{alg}(X)[\beta, \beta^{-1}], \deg \beta = -1$$

3. The Chow ring  $CH^*(X)$  of cycles modulo rational equivalence is the same as  $H^{2*,*}(X,\mathbb{Z})$ .

#### Main goal

To give an algebro-geometric description of the "classical part"  $MGL^{2*,*}$  of algebraic cobordism.

#### **Outline:**

- Recall the main points of complex cobordism
- Describe the setting of "oriented cohomology over a field k"
- Describe the fundamental properties and main applications of algebraic cobordism
- Sketch the construction of algebraic cobordism

**Complex cobordism** 

Quillen's viewpoint

Quillen (following Thom) gave a "geometric" description of  $MU^*(X)$  (for X a  $C^{\infty}$  manifold):

$$MU^n(X) = \{(f : Y \to X, \theta)\} / \sim$$

1.  $f: Y \to X$  is a proper  $C^{\infty}$  map

2. 
$$n = \dim X - \dim Y := \operatorname{codim} f$$
.

3.  $\theta$  is a "C-orientation of the virtual normal bundle of f":

a factorization of f through a closed immersion  $i: Y \to \mathbb{C}^N \times X$ plus a complex structure on the normal bundle  $N_i$  of Y in  $\mathbb{C}^N \times X$ (or on  $N_i \oplus \mathbb{R}$  if n is odd).  $\sim$  is the cobordism relation:

For  $(F : Y \to X \times \mathbb{R}, \Theta)$ , transverse to  $X \times \{0, 1\}$ , identify the fibers over 0 and 1:

$$(F_0: Y_0 \to X, \Theta_0) \sim (F_1: Y_1 \to X, \Theta_1).$$

 $Y_0 := F^{-1}(X \times 0), Y_1 := F^{-1}(X \times 1).$ 

To identify  $MU^n(X) \cong \{(f: Y \to X, \theta)\} / \sim$ :

$$x \in MU^{n}(X) \leftrightarrow x : (X \times S^{2N-n}, X \times \infty) \to (\mathsf{Th}(U_{N}), *)$$
$$\to Y := x^{-1}(0 \text{-section}) \to X$$

where we make Y a manifold by deforming x to make the intersection with the 0-section transverse.

To reverse (*n* even):

$$(Y \xrightarrow{i} \mathbb{1}^N_{\mathbb{C}} \to X) \to f : \mathbb{1}^N_{\mathbb{C}} \to \mathsf{Th}(U_{N+n/2}) \text{ classifying } Y \xrightarrow{0} N_i$$
$$\to \Sigma^{2N} X = \mathsf{Th}(\mathbb{1}^N_{\mathbb{C}}) \to MU_{2N+n}$$

#### **Properties of** $MU^*$

•  $X \mapsto MU^*(X)$  is a contravariant ring-valued functor: For  $g: X' \to X$  and  $(f: Y \to X, \theta) \in MU^n(X)$ ,

$$g^*(f) = X' \times_X Y \to X'$$

after moving f to make f and g transverse.

• For  $(g: X \to X', \theta)$  a proper  $\mathbb{C}$ -oriented map, we have  $g_*: MU^*(X) \to MU^{*+n}(X'); \quad (f: Y \to X) \mapsto (gf: Y \to X')$ with  $n = \operatorname{codim} f$ .

**Definition** Let  $L \to X$  be a  $\mathbb{C}$ -line bundle with 0-section  $s : X \to L$ . The first Chern class of L is:

$$c_1(L) := s^* s_*(1_X) \in MU^2(X).$$

These satisfy:

• 
$$(gg')_* = g_*g'_*$$
,  $id_* = id$ .

- Compatibility of  $g_*$  and  $f^*$  in transverse cartesian squares.
- Projective bundle formula:  $E \to X$  a rank r+1 vector bundle,  $\xi := c_1(\mathcal{O}(1)) \in MU^2(\mathbb{P}(E))$ . Then

$$MU^*(\mathbb{P}(E)) = \bigoplus_{i=0}^r MU^{*-2i}(X) \cdot \xi^i.$$

• Homotopy invariance:  $MU^*(X) = MU^*(X \times \mathbb{R})$ .

**Definition** A cohomology theory  $X \mapsto E^*(X)$  with push-forward maps  $g_*$  for  $\mathbb{C}$ -oriented g which satisfy the above properties is called  $\mathbb{C}$ -oriented.

**Theorem 1 (Quillen)**  $MU^*$  is the universal  $\mathbb{C}$ -oriented cohomology theory

*Proof.* Given a  $\mathbb{C}$ -oriented theory  $E^*$ , let  $1_Y \in E^0(Y)$  be the unit. Map

$$(f: Y \to X, \theta) \in MU^n(X) \to f_*(1_Y) \in E^n(X).$$

#### The formal group law

E: a  $\mathbb{C}$ -oriented cohomology theory. The projective bundle formula yields:

$$E^*(\mathbb{CP}^{\infty}) := \lim_{\stackrel{\leftarrow}{n}} E^*(\mathbb{CP}^n) = E^*(pt)[[u]]$$

where the variable u maps to  $c_1(\mathcal{O}(1))$  at each finite level. Similarly

$$E^*(\mathbb{CP}^{\infty} \times \mathbb{CP}^{\infty}) = E^*(pt)[[c_1(\mathcal{O}(1,0)), c_1(\mathcal{O}(0,1))]].$$

where

$$\mathcal{O}(1,0) = p_1^* \mathcal{O}(1); \ \mathcal{O}(0,1) = p_2^* \mathcal{O}(1).$$

Let  $\mathcal{O}(1,1) = p_1^*\mathcal{O}(1) \otimes p_2^*\mathcal{O}(1) = \mathcal{O}(1,0) \otimes \mathcal{O}(0,1)$ . There is a unique

$$F_E(u,v) \in E^*(pt)[[u,v]]$$

with

$$F_E(c_1(\mathfrak{O}(1,0)),c_1(\mathfrak{O}(0,1))) = c_1(\mathfrak{O}(1,1)) \in E^2(\mathbb{CP}^\infty \times \mathbb{CP}^\infty).$$

Since O(1) is the universal  $\mathbb{C}$ -line bundle, we have

$$F_E(c_1(L), c_1(M)) = c_1(L \otimes M) \in E^2(X)$$

for any two line bundles  $L, M \to X$ .

**Properties of**  $F_E(u, v)$ 

• 
$$1 \otimes L \cong L \cong L \otimes 1 \Rightarrow F_E(0, u) = u = F_E(u, 0).$$

• 
$$L \otimes M \cong M \otimes L \Rightarrow F_E(u, v) = F_E(v, u).$$

• 
$$(L \otimes M) \otimes N \cong L \otimes (M \otimes N) \Rightarrow F_E(F_E(u, v), w) = F_E(u, F_E(v, w)).$$

so  $F_E(u, v)$  defines a *formal group* (commutative, rank 1) over  $E^*(pt)$ .

*Note:*  $c_1$  *is not necessarily additive!* 

#### The Lazard ring and Quillen's theorem

There is a universal formal group law  $F_{\mathbb{L}}$ , with coefficient ring the Lazard ring  $\mathbb{L}$ . Let

$$\phi_E : \mathbb{L} \to E^*(pt); \ \phi(F_{\mathbb{L}}) = F_E.$$

be the ring homomorphism classifying  $F_E$ .

**Theorem 2 (Quillen)**  $\phi_{MU} : \mathbb{L} \to MU^*(pt)$  is an isomorphism, *i.e.*,  $F_{MU}$  is the universal group law.

Note. Let  $\phi : \mathbb{L} = MU^*(pt) \to R$  classify a group law  $F_R$  over R. If  $\phi$  satisfies the "Landweber exactness" conditions, form the  $\mathbb{C}$ -oriented spectrum  $MU \wedge_{\phi} R$ , with

$$(MU \wedge_{\phi} R)(X) = MU^{*}(X) \otimes_{MU^{*}(pt)} R$$

and formal group law  $F_R$ .

#### Examples

1.  $H^*(-,\mathbb{Z})$  has the additive formal group law  $(u + v,\mathbb{Z})$ .

2.  $K_{top}^*$  has the multiplicative formal group law  $(u+v-\beta uv, \mathbb{Z}[\beta, \beta^{-1}])$ ,  $\beta = Bott$  element in  $K_{top}^{-2}(pt)$ .

**Theorem 3 (Conner-Floyd)**  $K_{top}^* = MU \wedge_{\times} \mathbb{Z}[\beta, \beta^{-1}]; K_{top}^*$  is the universal multiplicative oriented cohomology theory.

Oriented cohomology over  $\boldsymbol{k}$ 

We now turn to the algebraic theory.

**Definition** k a field. An *oriented cohomology theory* A *over* k is a functor

$$A^*:\mathbf{Sm}/k^\mathsf{op} o \mathbf{GrRing}$$

together with pushforward maps

$$g_*: A^*(Y) \to A^{*+n}(X)$$

for each projective morphism  $g: Y \to X$ ; n = codimg, satisfying the algebraic versions of the properties of MU:

- functoriality of push-forward,
- compatibility of  $f^*$  and  $g_*$  in transverse cartesian squares,
- projective bundle formula,
- homotopy.

#### Remarks

1. For  $L \to X$  a line bundle with 0-section  $s: X \to L$ ,

$$c_1(L) := s^* s_*(1_X)).$$

2. The required homotopy property is

$$A^*(X) = A^*(V)$$

for  $V \to X$  an  $\mathbb{A}^n$ -bundle.

3. There is no "Mayer-Vietoris" property required.

#### Examples

1.  $X \mapsto CH^*(X)$ .

2. 
$$X \mapsto K_0^{alg}(X)[\beta, \beta^{-1}], \deg \beta = -1.$$

3. For  $\sigma: k \to \mathbb{C}$ , E a (topological) oriented theory,

$$X \mapsto E^{2*}(X_{\sigma}(\mathbb{C})).$$

4.  $X \mapsto MGL^{2*,*}(X)$ . Note. Let  $\mathcal{E}$  be a  $\mathbb{P}^1$ -spectrum. The cohomology theory  $\mathcal{E}^{*,*}$  has good push-forward maps for projective g exactly when  $\mathcal{E}$  is an MGL-module. In this case

$$X \mapsto \mathcal{E}^{2*,*}(X)$$

is an oriented cohomology theory over k.

#### The formal group law

Just as in the topological case, each oriented cohomology theory A over k has a formal group law  $F_A(u, v) \in A^*(\operatorname{Spec} k)[[u, v]]$  with

$$F_A(c_1^A(L), c_1^A(M)) = c_1^A(L \otimes M)$$

for each pair  $L, M \to X$  of algebraic line bundles on some  $X \in$ **Sm**/k. Let

$$\phi_A: \mathbb{L} \to A^*(k)$$

be the classifying map.

#### **Examples**

1.  $F_{CH}(u, v) = u + v$ .

2. 
$$F_{K_0[\beta,\beta^{-1}]}(u,v) = u + v - \beta uv.$$

Algebraic cobordism

The main theorem

**Theorem 4 (L.-Morel)** Let k be a field of characteristic zero. There is a universal oriented cohomology theory  $\Omega$  over k, called algebraic cobordism.  $\Omega$  has the additional properties:

- 1. Formal group law. The classifying map  $\phi_{\Omega} : \mathbb{L} \to \Omega^*(k)$  is an isomorphism, so  $F_{\Omega}$  is the universal formal group law.
- 2. Localization Let  $i : Z \to X$  be a closed codimension d embedding of smooth varieties with complement  $j : U \to X$ . The sequence

$$\Omega^{*-d}(Z) \xrightarrow{i_*} \Omega^*(X) \xrightarrow{j^*} \Omega^*(U) \to 0$$

is exact.

For an arbitrary formal group law  $\phi : \mathbb{L} = \Omega^*(k) \to R$ ,  $F_R := \phi(F_{\mathbb{L}})$ , we have the oriented theory

$$X \mapsto \Omega^*(X) \otimes_{\Omega^*(k)} R := \Omega^*(X)_{\phi}.$$

 $\Omega^*(X)_{\phi}$  is universal for theories whose group law factors through  $\phi$ .

The Conner-Floyd theorem extends to the algebraic setting:

**Theorem 5** The canonical map

 $\Omega_{\times}^* \to K_0^{alg}[\beta, \beta^{-1}]$ 

is an isomorphism, i.e.,  $K_0^{alg}[\beta, \beta^{-1}]$  is the universal multiplicative theory over k. Here

$$\Omega^*_{\times} := \Omega^* \otimes_{\mathbb{L}} \mathbb{Z}[\beta, \beta^{-1}].$$

Not only this but there is an additive version as well:

Theorem 6 The canonical map

$$\Omega^*_+ \to CH^*$$

is an isomorphism, i.e.,  $CH^*$  is the universal additive theory over k. Here

$$\Omega^*_+ := \Omega^* \otimes_{\mathbb{L}} \mathbb{Z}.$$

#### Remark

Define "connective algebraic  $K_0$ ",  $k_0^{alg} := \Omega^* \otimes_{\mathbb{L}} \mathbb{Z}[\beta]$ .

$$k_0^{alg}/\beta = CH^*$$
  
$$k_0^{alg}[\beta^{-1}] = K_0^{alg}[\beta, \beta^{-1}].$$

This realizes  $K_0^{alg}[\beta, \beta^{-1}]$  as a deformation of CH<sup>\*</sup>.

**Degree formulas** 

**Definition** Let X be an irreducible smooth variety over k with generic point  $\eta$ . Define

$$\deg: \Omega^*(X) \to \Omega^*(k)$$

as the composition

$$egin{array}{lll} \Omega^*(X) & \Omega^*(k) \ i^*_{\eta \mid} & & \uparrow \phi_\Omega \ \Omega^*(k(\eta)) & & \phi_{\Omega/k(\eta)} \end{array} \end{array}$$

*Note.* Let  $f: Y \to X$  be a projective morphism with dim  $X = \dim Y$ . Then f has a degree,  $\Omega^0(X) = \mathbb{Z}$  and

 $\deg(f_*(1_Y)) = \deg(f).$ 

M. Rost first considered *degree formulas*, which express interesting congruences satisfied by characteristic numbers of smooth projective algebraic varieties. These all follow from

**Theorem 7 (Generalized degree formula)** Given  $\alpha \in \Omega^*(X)$ , there are projective maps  $f_i : Z_i \to X$  and elements  $\alpha_i \in \Omega^*(k)$ such that

1. The  $Z_i$  are smooth over k and dim  $Z_i < \dim X$ .

2.  $f_i: Z_i \to f_i(Z_i)$  is birational

3. 
$$\alpha = \deg(\alpha) \cdot \mathbf{1}_X + \sum_i \alpha_i \cdot f_{i*}(\mathbf{1}_{Z_i})$$
.

#### Proof

1. By definition,  $j^* \alpha = \deg(\alpha) \cdot \mathbf{1}_U$  for some open  $U \xrightarrow{\mathcal{I}} X$ .

2. Let  $\tilde{W} \to W := X \setminus U$  be a resolution of singularities.  $f : \tilde{W} \to X$  the structure morphism. Since  $j^*(\alpha - \deg(\alpha) \cdot 1_X) = 0$ , use localization to find  $\alpha_1 \in \Omega^{*-1}(\tilde{W})$  with

$$f_*(\alpha_1) = \alpha - \deg(\alpha) \cdot \mathbf{1}_X.$$

3. Use induction on  $\dim X$  to conclude.

One applies the generalized degree formula by taking  $\alpha := f_*(1_Y)$  for some morphism  $f: Y \to X$  and evaluating "primitive" characteristic classes on both sides of the identity for  $\alpha$  to yield actual degree formulas for characteristic numbers.

### The construction of algebraic cobordism

#### The idea

We build  $\Omega^*(X)$  following roughly Quillen's basic idea, defining generators: "cobordism cycles" and relations. However, there are some differences:

1. We construct a "bordism theory"  $\Omega_*$  with projective pushforward and "1st Chern class operators" built in. At the end, we show  $\Omega_*$  has good pull-back maps, yielding

$$\Omega^*(X) := \Omega_{\dim X - *}(X).$$

2. The formal group law doesn't come for free, but needs to be forced as an explicit relation.

#### Cobordism cycles

 $Sch_k :=$  finite type k-schemes.

**Definition** Take  $X \in \mathbf{Sch}_k$ .

1. A cobordism cycle is a tuple  $(f : Y \to X; L_1, ..., L_r)$  with (a)  $Y \in \mathbf{Sm}/k$ , irreducible. (b)  $f : Y \to X$  a projective morphism. (c)  $L_1, ..., L_r$  line bundles on Y (r = 0 is allowed).

Identify two cobordism cycles if they differ by a reordering of the  $L_i$  or by an isomorphism  $\phi: Y' \to Y$  over X:

$$(f: Y \to X; L_1, \ldots, L_r) \sim (f\phi: Y' \to X; \phi^*L_{\sigma(1)}, \ldots, \phi^*L_{\sigma(r)})$$

2. The group  $\mathcal{Z}_n(X)$  is the free abelian group on the cobordism cycles  $(f: Y \to X; L_1, \ldots, L_r)$  with  $n = \dim Y - r$ .

#### Structures

• For 
$$g: X \to X'$$
 projective, we have  
 $g_*: \mathcal{Z}_*(X) \to \mathcal{Z}_*(X')$   
 $g_*(f: Y \to X; L_1, \dots, L_r) := (g \circ f: Y \to X'; L_1, \dots, L_r)$ 

- For  $g: X' \to X$  smooth of dimension d, we have  $g^*: \mathcal{Z}_*(X) \to \mathcal{Z}_{*+d}(X')$  $g^*(f: Y \to X; L_1, \dots, L_r) := (p_2: Y \times_X X' \to X'; p_1^*L_1, \dots, p_1^*L_r)$
- For  $L \to X$  a line bundle, we have the 1st Chern class operator  $\tilde{c}_1(L) : \mathcal{Z}_*(X) \to \mathcal{Z}_{*-1}(X)$  $\tilde{c}_1(L)(f : Y \to X; L_1, \dots, L_r,) := (f : Y \to X; L_1, \dots, L_r, f^*L)$

#### Relations

We impose relations in three steps:

1. Kill all cobordism cycles of negative degree:

$$\dim Y - r < 0 \Rightarrow (f : Y \to X; L_1, \dots, L_r) = 0.$$

2. Impose a "Gysin isomorphism": If  $i : D \to Y$  is smooth divisor on a smooth Y, then

$$(i: D \to Y) = (Y, \mathcal{O}_Y(D)).$$

Denote the resulting quotient of  $\mathcal{I}_*$  by  $\underline{\Omega}_*$ .

Note. The identities (1) and (2) generate all the relations defining  $\underline{\Omega}_*$  by closing up with respect to the operations  $g_*$ ,  $g^*$  and  $\tilde{c}_1(L)$ .

Thus, these operations pass to  $\underline{\Omega}_*$ .

#### The formal group law

For  $Y \in \operatorname{Sm}/k$ ,  $1_Y := (\operatorname{id} : Y \to Y) \in \underline{\Omega}_{\operatorname{dim} Y}(Y)$ . The third type of relation is:

3. Let  $F_{\mathbb{L}}(u,v) \in \mathbb{L}[[u,v]]$  be the universal formal group law. On  $\mathbb{L} \otimes \underline{\Omega}_*$ , impose the relations generated by the the identities

 $F_{\mathbb{L}}(\tilde{c}_1(L),\tilde{c}_1(M))(1_Y) = 1 \otimes \tilde{c}_1(L \otimes M)(1_Y)$ 

in  $\mathbb{L} \otimes \underline{\Omega}_*(Y)$ , for each  $Y \in \mathbf{Sm}/k$  and each pair of line bundles L, M on Y.

The quotient is denoted  $\Omega_*$ .

#### **Concluding remarks**

1. The Gysin relation (2) implies a "naive cobordism relation":

Let  $F: Y \to X \times \mathbb{P}^1$  be a projective morphism with Y smooth and with F transverse to  $X \times \{0,1\}$ . Then in  $\underline{\Omega}(X)$ , we have

$$(F_0: Y_0 \to X \times 0 = X) = (F_1: Y_1 \to X \times 1 = X).$$

2. The formal group law relation (3) seems artificial. But, in the definition of CH\* as cycles modulo rational equivalence, one needs to pass from a subscheme to a cycle, by taking the "associated cycle" of a subscheme. This turns out to be the same as imposing the *additive* formal group law.

3. The formal group law relation is *necessary*: each smooth projective curve C over k has a class  $[C] \in \underline{\Omega}_1(k)$ . However, even though  $[C] = (1 - g(C))[\mathbb{P}^1]$  in the Lazard ring, this relation is not true in  $\underline{\Omega}_1(k)$ .

4. Even though it looks like we have enlarged  $\underline{\Omega}$  greatly by taking  $\mathbb{L} \otimes \underline{\Omega}, \ \underline{\Omega}_* \to \Omega_*$  is surjective. In fact,  $\Omega_*(X)$  is generated by cobordism cycles  $(f : Y \to X)$  without any line bundles.