

# Stratifications of affine Deligne-Lusztig varieties

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Geometry and Arithmetic

UNIVERSITÄT

DUISBURG  
ESSEN

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 $G$  base change to  $\overline{\mathbb{F}}_q$ ,  $B, W$ , Frobenius  $\sigma$  acts on  $G, W, \dots$

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## Properties

- locally closed in  $G/B$ ,
- smooth of dimension  $\ell(w)$ ,
- $G_0(\mathbb{F}_q)$  acts on  $X_w$ , hence on  $H^*(X_w, \mathbb{Q}_\ell)$ .

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(“positive”) affine flag variety

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Relative position map:

$$\begin{aligned} \text{inv}: \check{G}/\check{J} \times \check{G}/\check{J} &\longrightarrow \check{J}\backslash\check{G}/\check{J} \cong \tilde{W} \\ (g, h) &\longmapsto g^{-1}h \end{aligned}$$

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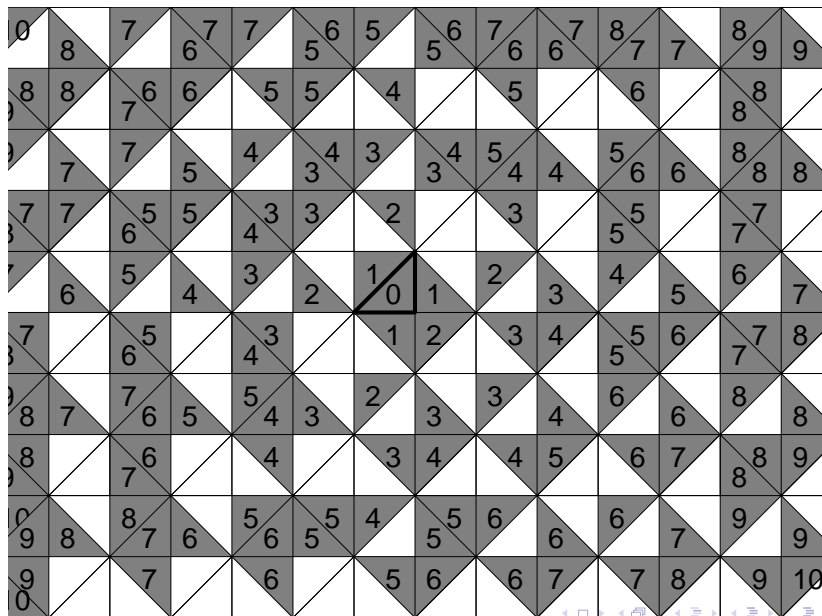
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Example ( $SL_2, b = 1$ )

$$X_w(1) \neq \emptyset \iff w = \text{id} \text{ or } \ell(w) \text{ odd}$$

Example:  $GSp_4$ ,  $b = \tau \neq \text{id}$ ,  $\ell(\tau) = 0$



# The admissible set

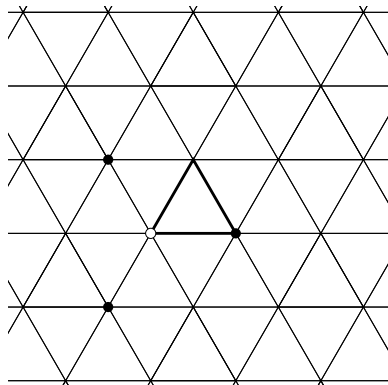
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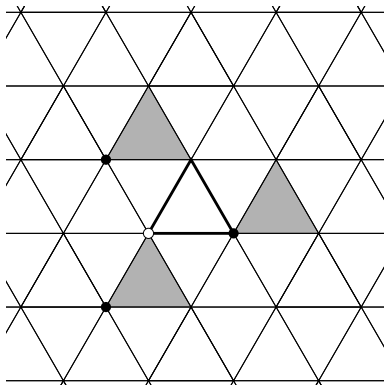




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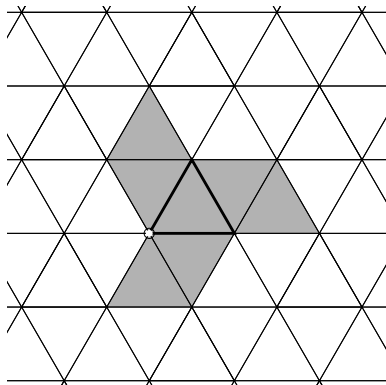
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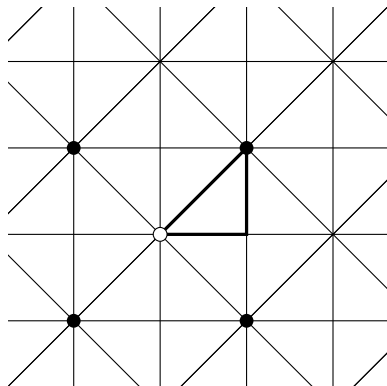
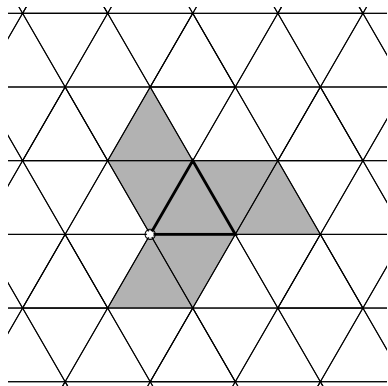
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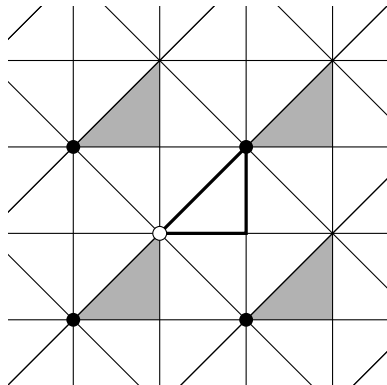
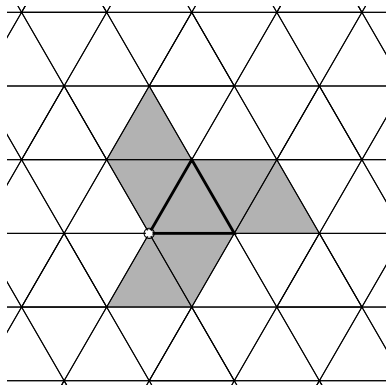
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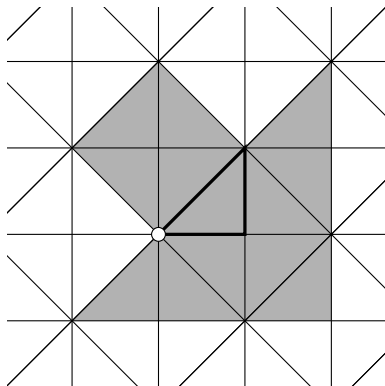
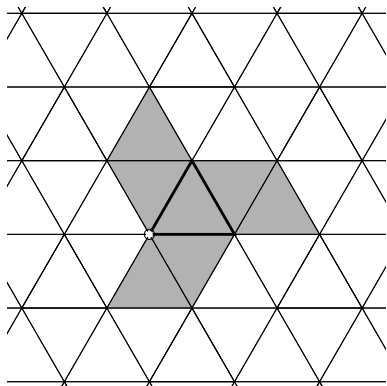
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- $X_w(b)$ ,  $X(\mu, b)$  depend only on  $\sigma$ -conjugacy class  $[b]$  of  $b$ .
- Can choose  $b$  in  $\tilde{W}$ .
- Given  $\mu$ ,  $X(\mu, \tau) \neq \emptyset$  for a unique *length 0* element  $\tau \in \tilde{W}$ .

$$\dim X(\mu, \tau) = ?$$

Say  $\mathbf{G} = GSp_{2g}$ ,  $\mu = \omega_g^\vee$ . Then  $\dim X(\mu, \tau)$  equals the dimension of the supersingular locus of the moduli space of  $g$ -dimensional principally polarized abelian varieties with Iwahori level structure at  $p$ , over  $\mathbb{F}_p$ .

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### Theorem (G-Yu)

For  $g$  even,  $\dim X(\mu, \tau) = g^2/2$ .

For  $g$  odd,  $g(g-1)/2 \leq \dim X(\mu, \tau) \leq (g+1)(g-1)/2$ .

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Bonan: For  $g \leq 5$  odd,  $g(g-1)/2 = \dim X(\mu, \tau)$ .

NB: Usually not equi-dimensional.

# The $\mathbb{J}$ -stratification

Relative position (for  $K \subset \tilde{S} \leftrightarrow \check{K} \subset \check{G}$ )

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## Definition (Chen-Viehmann)

$$\begin{array}{ccc} x, y \in \check{G}/\check{K} & & \text{for all } j \in \mathbb{J}: \\ \text{lie in the same stratum} & \iff & \text{inv}_K(j, x) = \text{inv}_K(j, y). \end{array}$$

Intersecting with  $X(\mu, b)_K$ , get  $\mathbb{J}$ -stratification on  $X(\mu, b)_K$ .

# Finiteness properties

## Theorem

*The  $\mathbb{J}$ -strata in  $\check{G}/\check{\mathcal{K}}$  are locally closed.*

## Proposition (“Generalized gate property”)

*Let  $S$  be a bounded set of alcoves in  $\mathcal{B}(\check{G})$ . There exists a finite set  $J'$  of alcoves in  $\mathcal{B}(\mathbb{J})$  with the following property:*

*for every alcove  $j$  in  $\mathcal{B}(\mathbb{J})$  there exists an alcove  $j' \in J'$  such that every alcove in  $S$  can be reached from  $j$  via a minimal gallery passing through  $j'$ .*



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*Let  $\tau$  be a simplex in the building, and  $\mathcal{R}$  the set of all alcoves whose closure contains  $\tau$ .*

*For every alcove  $\mathfrak{b}$  there exists an alcove  $\mathfrak{g}$  in  $\mathcal{R}$  such that every alcove in  $\mathcal{R}$  can be reached from  $\mathfrak{b}$  via a minimal gallery passing through  $\mathfrak{g}$ .*

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# The fully Hodge-Newton decomposable case

joint with Xuhua He, Sian Nie

$$B(\mathbf{G}, \mu) = \{[b]; X(\mu, b) \neq \emptyset\},$$

$\tau \in \tilde{W}$ ,  $\ell(\tau) = 0$ , such that  $[\tau] \in B(\mathbf{G}, \mu)$ .

## Theorem (G-He-Nie)

The following conditions are equivalent:

- 1 The pair  $(\mathbf{G}, \{\mu\})$  is fully Hodge-Newton decomposable.
- 2 The coweight  $\mu$  is minute:

if  $G$  split:  $\langle \mu, \omega_i \rangle \leq 1$  for all  $i$

- 3 For any  $[b] \neq [\tau]$  in  $B(\mathbf{G}, \{\mu\})$ ,  $\dim X(\mu, b)_K = 0$ .
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# The Bruhat-Tits stratification

In situation of the theorem, (4) means:

$$X(\mu, \tau)_K = \bigsqcup_{w \in \text{Adm}(\mu) \cap {}^K \tilde{W}} \pi_K(X_w(\tau)),$$

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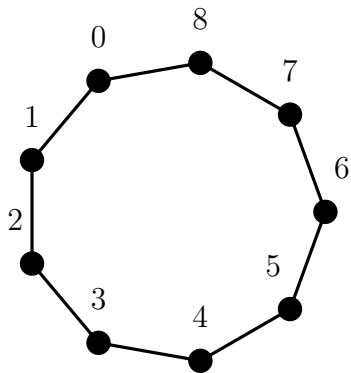
$$\pi_K(X_w(\tau)) = \bigsqcup_{j \in \mathbb{J}/\mathbb{J} \cap \check{\mathcal{P}}'_w} jY(w),$$

where  $Y(w) \subset \check{\mathcal{P}}_w/\check{J}$  a classical DL variety.

# Example: Unramified unitary group

$G$  a quasi-split unitary group for unramified quadratic extension

$\iff$  Dynkin diagram  $\tilde{A}_{n-1}$  with  $\sigma(0) = 0, \sigma(i) = n - i$ .

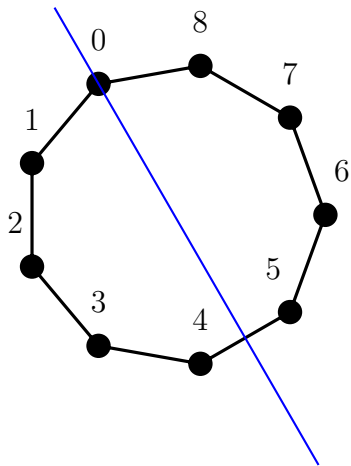




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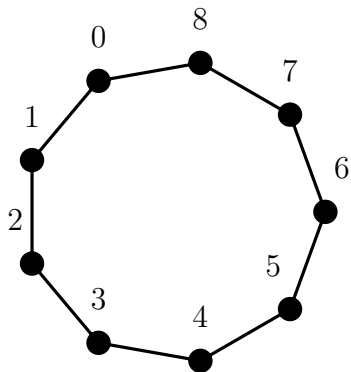
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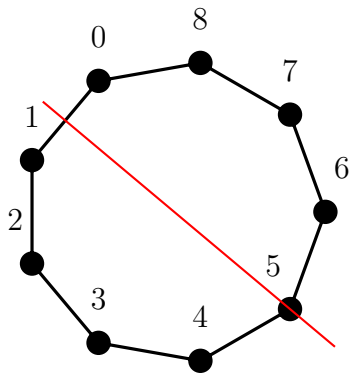
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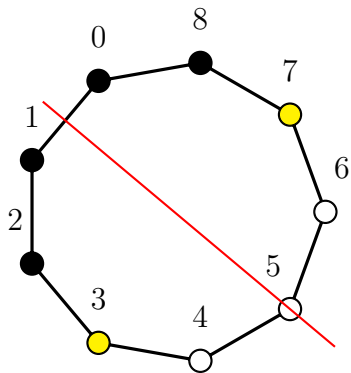
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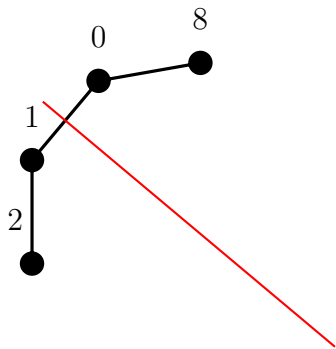
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$$\mu = \omega_1^\vee$$

$$w = s_0 s_8 \tau$$

$Y(w)$  is a Deligne-Lusztig variety in a unitary group.

## Theorem (G-He-Nie)

Assume that  $G$  is quasi-simple over  $\check{F}$  and  $\mu \neq 0$ . Then  $(G, \{\mu\})$  is fully Hodge-Newton decomposable if and only if the associated triple  $(W_a, \mu, \sigma)$  is one of the following:

$(\tilde{A}_{n-1}, \omega_1^\vee, \text{id})$	$(\tilde{A}_{n-1}, \omega_1^\vee, \tau_1^{n-1})$	$(\tilde{A}_{n-1}, \omega_1^\vee, \varsigma_0)$
$(\tilde{A}_{2m-1}, \omega_1^\vee, \tau_1 \varsigma_0)$	$(\tilde{A}_{n-1}, \omega_1^\vee + \omega_{n-1}^\vee, \text{id})$	$(\tilde{A}_3, \omega_2^\vee, \text{id})$
$(\tilde{A}_3, \omega_2^\vee, \varsigma_0)$	$(\tilde{A}_3, \omega_2^\vee, \tau_2)$	
$(\tilde{B}_n, \omega_1^\vee, \text{id})$	$(\tilde{B}_n, \omega_1^\vee, \tau_1)$	
$(\tilde{C}_n, \omega_1^\vee, \text{id})$	$(\tilde{C}_2, \omega_2^\vee, \text{id})$	$(\tilde{C}_2, \omega_2^\vee, \tau_2)$
$(\tilde{D}_n, \omega_1^\vee, \text{id})$	$(\tilde{D}_n, \omega_1^\vee, \varsigma_0)$	

# Comparison in the Coxeter case

## Coxeter case (G-He)

Fully HN decomposable +

$$K = \tilde{\mathbb{S}} \setminus \{v\}, \quad \sigma(K) = K +$$

for all  $w \in \text{Adm}(\mu) \cap {}^K \tilde{W}$  with  $X_w(\tau) \neq \emptyset$ ,  $w$  is twisted Coxeter:

$$\text{supp}(w) := \{s \in \tilde{\mathbb{S}}; s \leq w\}$$

intersects each  $\text{Int}(\tau) \circ \sigma$ -orbit in at most one element

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Fully HN decomposable +

$$K = \tilde{\mathbb{S}} \setminus \{v\}, \quad \sigma(K) = K +$$

for all  $w \in \text{Adm}(\mu) \cap {}^K \tilde{W}$  with  $X_w(\tau) \neq \emptyset$ ,  $w$  is twisted Coxeter:

$$\text{supp}(w) := \{s \in \tilde{\mathbb{S}}; s \leq w\}$$

intersects each  $\text{Int}(\tau) \circ \sigma$ -orbit in at most one element

## Theorem (G)

*In the Coxeter cases, the  $\mathbb{J}$ -stratification coincides with the Bruhat-Tits stratification.*



$\text{inv}_K(j, -)$  is constant on BT strata

### Proposition (Gate property)

Let  $\tau$  be a simplex in the building, and  $\mathcal{R}$  the set of all alcoves whose closure contains  $\tau$ .

For every alcove  $\mathfrak{b}$  there exists an alcove  $\mathfrak{g}$  in  $\mathcal{R}$  such that every alcove in  $\mathcal{R}$  can be reached from  $\mathfrak{b}$  via a minimal gallery passing through  $\mathfrak{g}$ .

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Return to the setting of classical DL varieties.

### Proposition (Lusztig)

Let  $G_0/\mathbb{F}_q$ ,  $w \in W$  twisted Coxeter,  $g \in G_0(\mathbb{F}_q)$ ,  $h \in X_w$ . Then

$$\text{inv}(g, h) = w_0, \quad \text{the longest element of } W.$$

# Extremal cases

joint with Xuhua He, Michaël Rapoport

Assume that  $\mu$  is not central in any simple factor of  $\mathbf{G}$  over  $\check{F}$ .

**Theorem (Equi-maximal-dimensional case, G-H-R)**

Then  $X(\mu, \tau)_K$  is equi-dimensional of dimension  $\langle \mu, 2\rho \rangle$

$\iff (W_a, \sigma, \mu, K)$  is isomorphic to one of the following:

- 1  $(\tilde{A}_{n-1}, \circlearrowleft_1, \omega_1^\vee, \emptyset)$  ← Drinfeld case
- 2  $(\tilde{A}_{n-1} \times \tilde{A}_{n-1}, \curvearrowright, (\omega_1^\vee, \omega_{n-1}^\vee), \emptyset)$
- 3  $(\tilde{A}_3, \circlearrowleft_2, \omega_2^\vee, \emptyset)$

# Dimension 0

## Theorem (G-He-Rapoport)

$$\dim X(\mu, \tau)_K = 0 \iff (W_a, \sigma, \mu) \text{ is isomorphic} \\ \text{to } (\tilde{A}_{n-1}, \text{id}, \omega_1^\vee) \text{ for some } n.$$

Lubin-Tate case



## Finite fibers

Fix a pair  $K \subsetneq K'$  of  $F$ -rational parahoric level structures.

Have projection  $\pi_{K,K'} : X(\mu, \tau)_K \rightarrow X(\mu, \tau)_{K'}$ .

# Finite fibers

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## Theorem (G-He-Rapoport)

Then

*all fibers of  $\pi_{K,K'}$  are finite  $\iff$  LT case or*

*Dynkin type  $\tilde{A}_{n-1}$  with  $\sigma(0) = 0$ ,  $\sigma(i) = n - i$ , and  $\mu = \omega_1^\vee$ , and*

- $K' \setminus K \subset \{s_0, s_{\frac{n}{2}}\}$ , and if  $s_i \in K' \setminus K$ , then  $s_{i+1} \notin K$ .*